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FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

09793822-0148

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

097857364

INTERNATIONAL APPLICATION NO.
PCT/JP00/06916

INTERNATIONAL FILING DATE
04 October 2000 (04.10.00)

PRIORITY DATE CLAIMED
04 October 1999 (04.10.99)

TITLE OF INVENTION
INFORMATION PROCESSING APPARATUS AND METHOD, AND RECORDING MEDIUM

APPLICANT(S) FOR DO/EO/US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Express Label No: EL281568347US

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IN THE UNITED STATES ELECTED OFFICE OF THE UNITED STATES PATENT
AND TRADEMARK OFFICE UNDER THE PATENT COOPERATION TREATY

APPLICANT: Naomi Go

ATTORNEY DOCKET NO:

29793822-0148

SERIAL NO:

GROUP NO: To Be Assigned

FILING DATE:

EXAMINER: To Be Assigned

INVENTION: "INFORMATION PROCESSING APPARATUS AND METHOD,
AND RECORDING MEDIUM"

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail" Mailing Label Number EL281568347US

Date of Deposit June 4, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Box PCT Application, Washington, D.C. 20231:

1. Transmittal Letter Under 35 U.S.C. 371
2. Specification (English Translation 34 pages)
3. Drawings (10 Sheets)
4. Assignment (Executed)
5. Recordation Form
6. Declaration and Power of Attorney
7. IDS Transmittal
8. IDS Disclosure Statement
9. USPTO Form 1449
10. 6 References Cited
11. International Search Report
12. PCT Forms IB301/IB304/IB308
13. Express Label No: EL281568347US
14. Postcard



Signature of Person Mailing Application and Fees